

Silicon-based optical mirror coatings  
for ultra-high precision metrology and sensing  
(originally: Silicon-based optical coatings with a 12 times thermal noise reduction)  
dcc: P1800004

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## Why is aSi interesting?

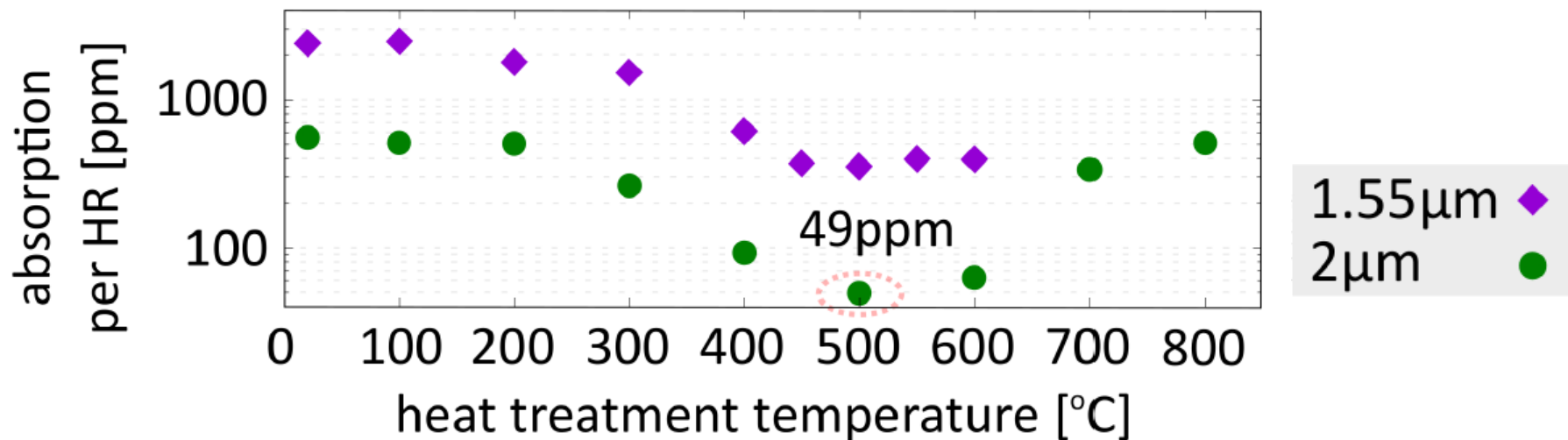
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- Known for low cryogenic mechanical loss
  - Measurements of IBS aSi suggest a factor of 40 or more lower loss than tantalum at 20 K

P. G. Murray, I. W. Martin, M. R. Abernathy, K. Craig, J. Hough, T. Pershing, S. Penn, R. Robie, S. Rowan, Phys. Rev. D **92**, 062001 (2015)
  - Even lower loss demonstrated (e.g. elevated temperature deposition work) X. Liu, D. R. Queen, T. H. Metcalf, J. E. Karel, F. Hellman Phys. Rev. Lett. **113** 025503 (2014)
  - High index ( $n \sim 3.5 - 4$ ) – thinner films and fewer bilayers: direct thermal noise reduction
  - BUT: optical absorption typically significantly higher than required

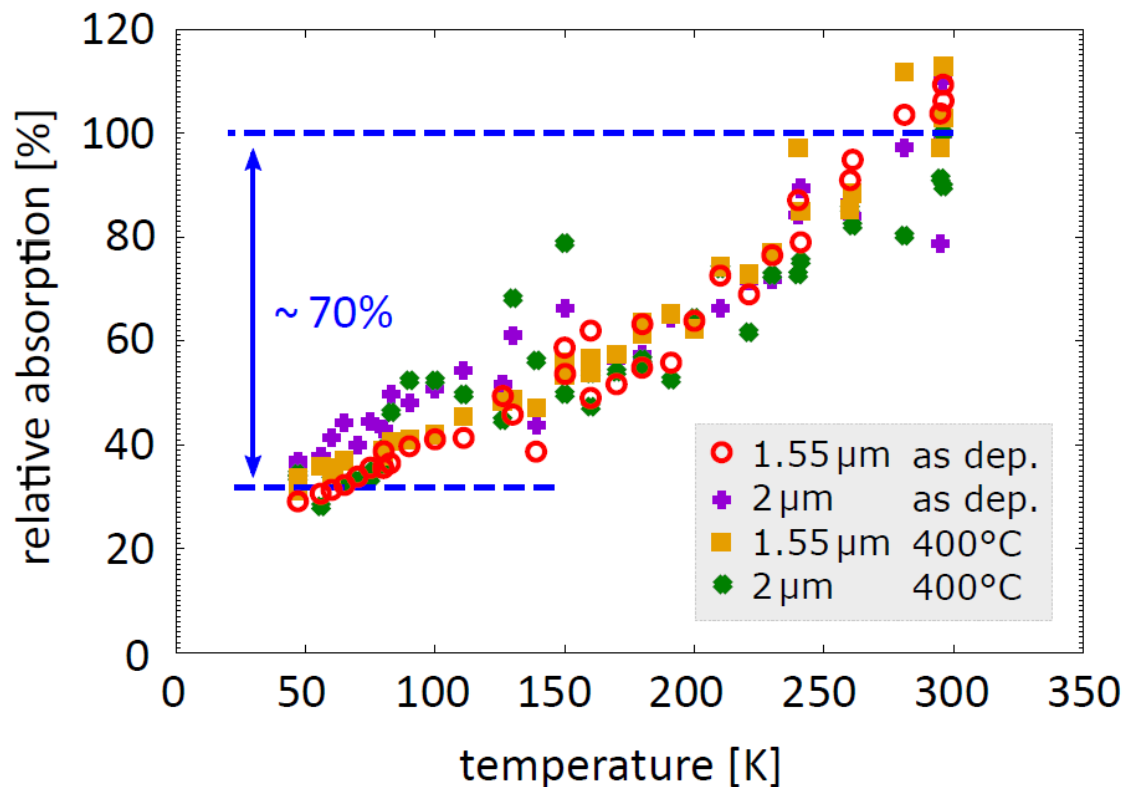
- Here we investigate
  - Deposition method – ion-plating (dep. temp.  $\sim 250^{\circ}\text{C}$ )  
<http://www.tafelmaier.de/index.php?page=beschichtung&lng=en>
  - Absorption
    - Effect of post-deposition heat-treatment on absorption
    - Absorption at 1.55 and at 2  $\mu\text{m}$
    - Effect of cooling
  - Mechanical loss
  - Thermal noise performance

## Absorption versus heat-treatment at 1.55 and 2 $\mu\text{m}$



- Measured on: single layer, 1  $\mu\text{m}$  thick at 1.55  $\mu\text{m}$  and 2  $\mu\text{m}$
- Scale to estimate the absorption in an HR aSi/SiO<sub>2</sub> stack (7 bilayers, assuming negligible absorption in the SiO<sub>2</sub> layers)
- 85%/89% absorption reduction at 1.55/2  $\mu\text{m}$  following optimum heat-treatment
- Minimum absorption is x7.2 lower at 2  $\mu\text{m}$  than at 1.55  $\mu\text{m}$

# Absorption versus temperature at 1.55 and 2 $\mu\text{m}$



- Cooling to 47K: approximately 70% reduction in absorption for heat-treated and as-deposited coatings, at both wavelengths
- 2  $\mu\text{m}$  measurements corrected for substrate absorption using uncoated measurements

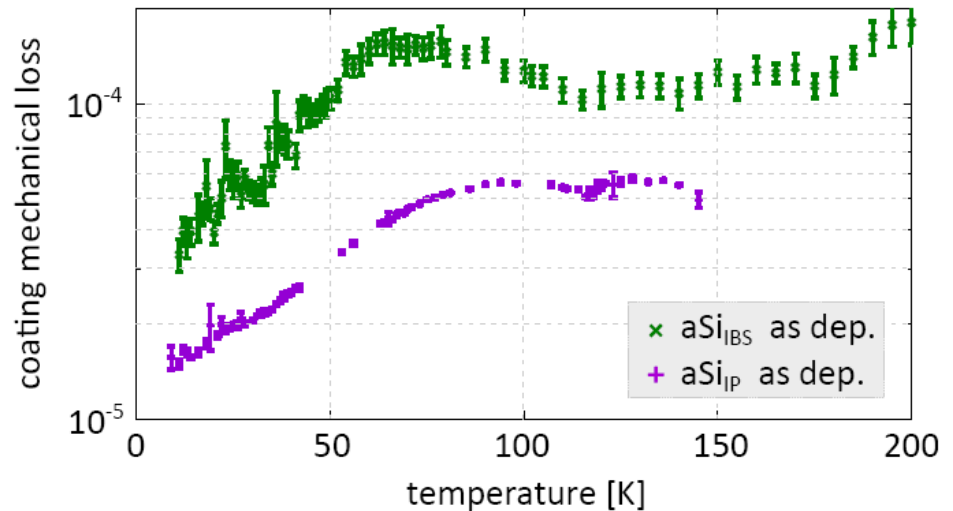
## ■ Absorption

- Heat-treatment: x 2.3 reduction
- Moving from 1.55 to 2  $\mu\text{m}$ : x7.2 reduction
- Cooling (to 47 K, here): x 3.3 reduction
  
- Total reduction compared to as-deposited, room temperature at 1550nm: x55

# Mechanical loss

## ■ aSi loss

- 290K, as deposited:  $1 \times 10^{-4}$
- 290K, heat treated:  $2 \times 10^{-5}$
- 20K, as deposited:  $2 \times 10^{-5}$
- 20K, heat treated: ???



- Silica loss ( $7.8E-4$  @ 20K, dominates thermal noise of aSi/SiO<sub>2</sub> HR coating)
- Use **silicon nitride** as low-index ( $n=2.17$ ) partner layer for aSi
  - Low cryogenic loss in literature (plus work of Shih Chao et al)
  - We measured absorption at  $2 \mu\text{m}$ , finding a  $k = 4.3E-6$ , used to predict absorption of HR coatings.

# Thermal noise

A: 17 bilayers

SiO<sub>2</sub> (blue, 258 nm)  
& Ti:Ta<sub>2</sub>O<sub>5</sub> (green, 189 nm)  
absorption 1.55 μm:  
1.7 ppm; TN set to 100 %

B: 7 bilayers

SiO<sub>2</sub> (blue, 333 nm)  
& aSi (pink, 143 nm)  
absorption at 2 μm:  
20 ppm; TN: 60 %

C: 18 bilayers

SiO<sub>2</sub> (blue, 258 nm)  
& SiN (yellow, 179 nm)  
absorption at 2 μm:  
14 ppm; TN: 96 %

D: 11 bilayers

aSi (pink, 143 nm)  
& SiN (yellow, 230 nm)  
absorption at 2 μm:  
27 ppm; TN: 8 %


TABLE I. Refractive index,  $n$ , and mechanical loss,  $\phi$ , of the materials discussed.

Temper- ature	loss $\phi \times 10^{-4}$			
	SiO <sub>2</sub>	Ti:Ta <sub>2</sub> O <sub>5</sub>	aSi	SiN
290 K	0.4 [15]	2.4 [15]	1.0 (IBS, ATF) [23]	0.2 (IP) 0.17(IBS, UWS) 0.8 [36]
120 K	1.7 [16]	3.3 [35]	0.8 (IBS, ATF) [23]	0.2 [36]
20 K	7.8 [16]	8.6 [35]	0.2 (IBS, ATF) [23]	< 0.2 (IP) 0.1 [36]
$n$	1.5	2.05	3.65	2.17 [37]


$$S_x(f, T) \approx \frac{2k_B T}{\pi^2 f} \frac{d}{w^2 Y} \phi \left( \frac{Y'}{Y} + \frac{Y}{Y'} \right)$$




# Thermal noise




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absorption at 2 μm:  
14 ppm; TN: 96 %



D: 11 bilayers  
aSi (pink, 143 nm)  
& SiN (yellow, 230 nm)  
absorption at 2 μm:  
27 ppm; TN: 8 %

Coating	ETM coating TN (120K/20K)	Absorption (120K/20K)
17 bilayers SiO <sub>2</sub> / Ti:Ta <sub>2</sub> O <sub>5</sub> (1.55μm)*	1/1	<1ppm/<1ppm
7 bilayers SiO <sub>2</sub> /aSi (2μm)	0.54/0.60	67ppm/20ppm
18 bilayers SiO <sub>2</sub> /SiN (2μm)	0.87/0.96	47ppm/14ppm
11 bilayers SiN/aSi (2μm)	0.19/0.08	90ppm/27ppm

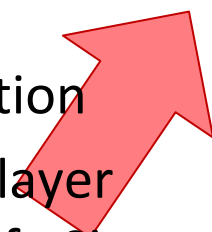
- SiO<sub>2</sub>/Ti:Ta<sub>2</sub>O<sub>5</sub> at 120K has ~1.36× higher TN than at 20 K
- SiO<sub>2</sub>/Ti:Ta<sub>2</sub>O<sub>5</sub> at 120K has ~0.84× actual aLIGO ETM TN

# UWS / Strathclyde aSi Coatings<sup>1</sup>

- IBS, mechanical loss of  $0.17 \times 10^{-4}$  at RT
- Absorption:  $\sim 20$ ppm for aSi/SiO<sub>2</sub> HR coating at 1550nm and RT

Coating	ETM coating TN (120K/20K)	Absorption (120K/20K)
17 bilayers SiO <sub>2</sub> / Ti:Ta <sub>2</sub> O <sub>5</sub> (1.55 $\mu$ m)	1/1	<1ppm/<1ppm
7 bilayers SiO <sub>2</sub> /aSi (1.55 $\mu$ m)	0.48/0.60	20ppm/20ppm
18 bilayers SiO <sub>2</sub> /SiN (1.55 $\mu$ m)	0.77/0.96	36ppm/36ppm
11 bilayers SiN/aSi (1.55 $\mu$ m)	0.17/0.08	33ppm/33ppm

- Here, using room temperature 1550nm absorption
- Scope for significant further reduction in multi-layer absorption, based on results with other types of aSi
  - Absorption at 2 $\mu$ m?
  - Absorption and loss at low temperature?



# What is possible using multi-material coatings?

## 120K

Coating

TN compared to  $\text{SiO}_2/\text{Ti:Ta}_2\text{O}_5$   
1.55 $\mu\text{m}$ (UWS)/2 $\mu\text{m}$ (IP)

Absorption  
1.55 $\mu\text{m}$ (UWS)/2 $\mu\text{m}$ (IP)

11 bilayers SiN/aSi	0.17 / 0.19	33ppm / 90ppm
11 bilayers SiN/aSi + 1x $\text{SiO}_2/\text{Ti:Ta}_2\text{O}_5$	0.25 / 0.28	16.5ppm / 45ppm
11 bilayers SiN/aSi + 2x $\text{SiO}_2/\text{Ti:Ta}_2\text{O}_5$	0.33 / 0.38	8.3ppm / 22.5ppm
11 bilayers SiN/aSi + 3x $\text{SiO}_2/\text{Ti:Ta}_2\text{O}_5$	0.41 / 0.46	4.1ppm / 11.3ppm
11 bilayers SiN/aSi + 4x $\text{SiO}_2/\text{Ti:Ta}_2\text{O}_5$	0.47 / 0.53	2ppm / 5.7ppm
11 bilayers SiN/aSi + 5x $\text{SiO}_2/\text{Ti:Ta}_2\text{O}_5$	0.53 / 0.61	1ppm / 2.8ppm

## Single layer versus multi-layer

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- We compared the HR absorption predicted from single-layer measurements with absorption measured for an HR stack of RLVIP aSi/SiO<sub>2</sub>
  - Single layer starts with a higher absorption after deposition than HR stack (possible reason: longer deposition time for HR stack = longer heating during deposition)
  - Measured HR stack reduces absorption by 57% (compared to 85% for the single layer)
  - HR stack reaches minimum absorption at 450°C (compared to 500°C for single layer)
  - Resulting absorption for HR stack about 20% higher than for an HR coating calculated from single layer measurements
- Could there be interface effects?
- Longer deposition time for HR stack: Changing absorption characteristics? Lower absorption as-deposited, less responsive to post-deposition heat-treatment?